Attorney Docket No.: 59713(70904)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Noboru FILIITA

IISSN . GROUP ART UNIT: Not Yet Known

FILED: Herewith EXAMINER: Not Yet Known

FOR-METHOD AND APPARATUS FOR MANUFACTURING MICRO-LENS 

ARRAY SUBSTRATE

# CERTIFICATE OF EXPRESS MAIL

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," Express Mail Label No.

EV342612395US addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box, 1450, Alexandria, Virginia 22313-1450 on

Donna R. Davis

## MAIL STOP PATENT APPLICATION

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

#### ī LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto. The citation of this information by Applicant(s) is not necessarily an admission that the information (1) qualifies as prior art by virtue of its date of publication/availability, or that (2) the information is relevant to the patentability of this application.

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U.S. Serial No.: Filing Date: Herewith
Group Art Unit: Not Yet Known
Examiner: Not Yet Known

II.	COPIES					
	a. <u>X</u>	Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.				
	b <u> </u>	This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No, filed on The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application.				
III.	CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)					
	a. <u>X</u>	Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).				
	b. <u>X</u>	A concise explanation of the relevance of all patents, publications or other information listed that is/are not in the English language is as follows: English language Abstract and partial translation.				
	c	The following additional information is provided for the Examiner's consideration:				

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Filing Date: Herewith Group Art Unit: Not Yet Known Examiner: Not Yet Known

# FEES

IV.	THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b) (check one box)							
	a. <u>X</u>	within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1]. No fee or certification is required.						
	b	within three months of the date of entry of the national stage as set forth in $\S1.491$ in an international application (37 C.F.R. $\S1.97(b)$ (2). No fee or certification is required.						
	с	Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).						
V.	THIS IDS IS	BEING FILED UNDER 37 C.F.R. § 1.97(c):						
before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (Sec C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance unde C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).								
	a	No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. §1.17(p).						
	b	or See the certification below. No fee is required.						
VI.	STATEMENT UNDER 37 C.F.R. § 1.97(e)							
The u	ındersigned h	ereby states that						
	a	each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or						

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no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this. statement. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or. to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached. No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. **04-1105**.

Date: August 14, 2003

Respectfully submitted,
By: May 1 - May

Richard J. Roos Reg. No.: 45,053 Attorney for Applicant(s)

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Fax: (617) 439-4170 Customer No.: 21874

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT				<b>DOCKET NO.:</b> 59713(70904		SERIAL N	SERIAL NO.:		
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				APPLICANT(S):					
				N. FUJI	ΓA				
				FILING DATE:		GROUP NO.:			
				Herewith		Not Yet Known			
			UNITE	D STATE	S PATENT DOCUM	MENTS			
EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
	AA	5,633,737	05/27/97	Tanaka et al.		349	95	12/22/94	
				1					
					ATENT DOCUMEN	TS			
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES/NO	
	BA	2000-147500	5/26/	00	JAPAN	G02F	1/1335	English language Abstract and Partial Translation	
	OI	HER DOCUME	nts (inclui	ING AUT	HOR, TITLE, DAT	re, pertine	NT PAGES	, ETC.)	
	CA								
EXAMINER:						DATE:			